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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addrew COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/774,700

02/10/2004

Shunpei Yamazaki

12732211001

26171 FISH & RICHARDSON P.C. 1425 K STREET, N.W. 11TH FLOOR

WASHINGTON, DC 20005-3500

CONFIRMATION NO. 8592
FORMALITIES LETTER
OC000000012565537

Date Mailed: 05/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine
 the number of claims submitted. Additional claim fees may be due once the number of claims can be
 determined.

SUMMARY OF FEES DUE:

08/10/2004 KBETEMA1 00000017 10774700

Total additional fee(s) required for this application is \$1030 for a Lagge 1965 130.00 GP 0.00 FC:1051 130.00 GP 0.00 FC:1201 86.00 GP 0.00 FC:1201 86.00 GP 0.00 FC:1202 108.00 GP 0.00 GP 0.

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney's Docket No.: 12732-211001 / US6966



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al.

Serial No.: 10/774,700

Filed: February 10, 2004

Art Unit: Unknown

Examiner: Unknown

Confirmation No.: 8592

Title : SEMICONDUCTOR DEVICE

MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 7, 2004 (copy enclosed), applicants, as a large entity, submit herewith the following:

- Payment of the basic filing fee of \$770;
- Payment of the additional claims fee of \$194;
- Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- A verified English translation of the application and payment of the \$130 fee required under 37 CFR §1.17(k);
- Payment for a one-month extension of time in the amount of \$110;
- A check in the total amount of \$1334 is attached;
- A specification (in English) that is written on only one side of the page, that complies with the size requirements, and that is clear and in permanent ink. The replacement contains the same material as the specification on deposit; and
- A Preliminary Amendment.

Applicant: Shunpei Yamazaki et al.

Serial No.: 10/774,700

Filed: February 10, 2004

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It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 12732-211001 / US6966

Date: August 9, 2004

John F. Hayden Reg. No. 37,640

Customer No. 26171

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